

# AML/TF CASES

## 1. CASE NAME

[Name of the case or a brief summary if it doesn't have a name]

Click here to type the text.

## 2. THIS CASE IS RELEVANT FOR

[Please select FATF Immediate Outcomes (IOs) or Recommendations this case is helpful effectiveness assessment. Explain your indications below]

- |                              |                               |                             |  |                              |                              |                              |                              |                              |                              |
|------------------------------|-------------------------------|-----------------------------|--|------------------------------|------------------------------|------------------------------|------------------------------|------------------------------|------------------------------|
| <input type="checkbox"/> IO1 | <input type="checkbox"/> IO7  | <input type="checkbox"/> R1 | <input type="checkbox"/> R6            | <input type="checkbox"/> R11 | <input type="checkbox"/> R16 | <input type="checkbox"/> R21 | <input type="checkbox"/> R26 | <input type="checkbox"/> R31 | <input type="checkbox"/> R36 |
| <input type="checkbox"/> IO2 | <input type="checkbox"/> IO8  | <input type="checkbox"/> R2 | <input checked="" type="checkbox"/> R7 | <input type="checkbox"/> R12 | <input type="checkbox"/> R17 | <input type="checkbox"/> R22 | <input type="checkbox"/> R27 | <input type="checkbox"/> R32 | <input type="checkbox"/> R37 |
| <input type="checkbox"/> IO3 | <input type="checkbox"/> IO9  | <input type="checkbox"/> R3 | <input type="checkbox"/> R8            | <input type="checkbox"/> R13 | <input type="checkbox"/> R18 | <input type="checkbox"/> R23 | <input type="checkbox"/> R28 | <input type="checkbox"/> R33 | <input type="checkbox"/> R38 |
| <input type="checkbox"/> IO4 | <input type="checkbox"/> IO10 | <input type="checkbox"/> R4 | <input type="checkbox"/> R9            | <input type="checkbox"/> R14 | <input type="checkbox"/> R19 | <input type="checkbox"/> R24 | <input type="checkbox"/> R29 | <input type="checkbox"/> R34 | <input type="checkbox"/> R39 |
| <input type="checkbox"/> IO5 | <input type="checkbox"/> IO11 | <input type="checkbox"/> R5 | <input type="checkbox"/> R10           | <input type="checkbox"/> R15 | <input type="checkbox"/> R20 | <input type="checkbox"/> R25 | <input type="checkbox"/> R30 | <input type="checkbox"/> R35 | <input type="checkbox"/> R40 |
| <input type="checkbox"/> IO6 |                               |                             |  |                              |                              |                              |                              |                              |                              |

Justification:

## 3. CONTEXT

[Briefly explain the case. Mention the relevant facts and the crimes investigated and prosecuted. Indicate the timeline (when the case started and when it finished, if it is not still ongoing)]

Click here to type the text.

## 4. MONEY LAUNDERING TYPOLOGIES/METHODS FOUND IN THE CASE

[List ML typologies or methods found in the case. For example: money remittance and currency exchange providers/hawala, smurfing (structuring deposits), use of shell companies, offshore accounts, concealment of beneficial owner, among others]

Click here to type the text.

## 5. FINANCIAL INTELLIGENCE – TRANSACTIONS REPORTS UNDER LAW 9.613

### Nº OF STR ASSOCIATED TO THE CASE

[Insert number]

Click here to type

### OBSERVED WARNING SIGNS

[Describe warning signs]

Click here to type

### UIF'S FINANCIAL INTELLIGENCE REPORTS DISSEMINATION

[Insert quantity and competent authorities they were send to]

Click here to type the text.

## 6. TAKEN ACTIONS

[List the strategies and measures adopted, including investigative techniques, search and seizure warrants, freezing and seizing, etc.]

Click here to type the text.

## 7. COOPERATION – DOMESTIC AND INTERNATIONAL

### AGENCIES AND AUTHORITIES INVOLVED IN THE CASE

[List Agencies and authorities involved in the case]

Click here to type the text:

### INFORMATION EXCHANGE

[Describe how information exchange occurred]

Click here to type the text:

### INTERNATIONAL COOPERATION

[Was there international cooperation? If yes, please, specify the types of cooperation used. For example: witnesses hearing, beneficial ownership information, elaboration of documentary evidence, account freezing, repatriation request, etc.]

Click here to type the text:

## 8. RESULTS

[Describe case results: (a) judicial orders - search and seizure warrants, lifting of secrecy, etc; (b) convictions – describe penalties; (c) assets frozen or seized (types and value/amount), confiscation (types and value/amount); e (d) assets recovered/repatriated (indicate the amount and the procedure used for the recovery/repatriation)]

Click here to type the text:

## 9. ADDITIONAL COMMENTS

Click here to type the text:

**REPORT DATE**

[Select Date]

**PREPARED BY**

[Name]

[Organization]